

# Towards a future experiment to measure $\text{BR}(\text{K}^+ \rightarrow \pi^+ \nu \bar{\nu})$

**Venelin Kozhuharov**

for P326 collaboration

New Trends in High Energy Physics Conference  
**Yalta-2005**

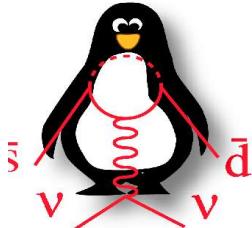
# OVERVIEW

- Why ?
- Where ?
- Who ?
- How ?
- When ?

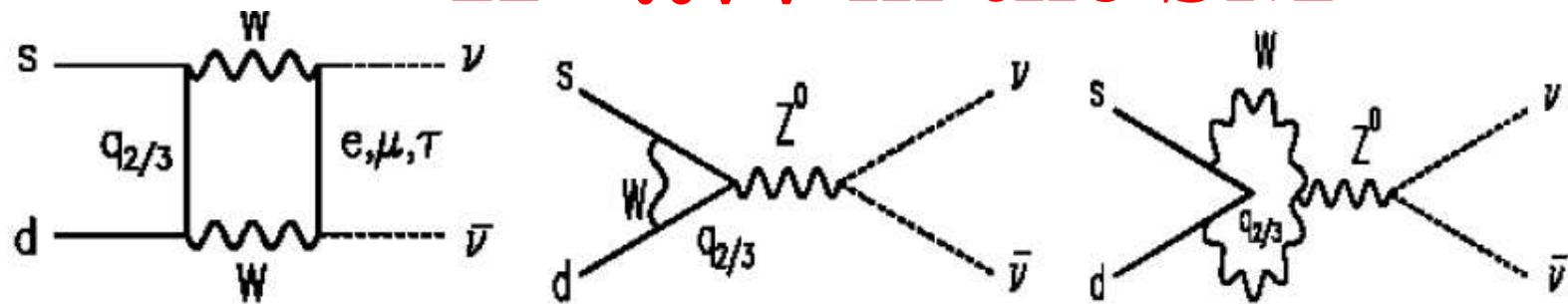
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## Conclusion

Why do we want to measure  
 $\text{BR}(\text{K}^+ \rightarrow \pi^+ \nu \bar{\nu})$  ?



# K $\rightarrow$ $\pi\nu\bar{\nu}$ in the SM



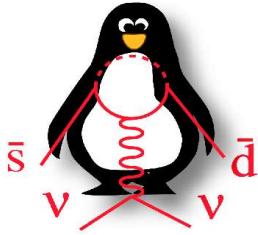
$$B(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = \kappa_+ \cdot \left[ \left( \frac{\text{Im } \lambda_t}{\lambda^5} X(x_t) \right)^2 + \left( \frac{\text{Re } \lambda_t}{\lambda^5} X(x_t) + \frac{\text{Re } \lambda_c}{\lambda} P_c(X) \right)^2 \right]$$

$$B(K_L^0 \rightarrow \pi^0 \nu \bar{\nu}) = \kappa_L \cdot \left( \frac{\text{Im } \lambda_t}{\lambda^5} X(x_t) \right)^2$$

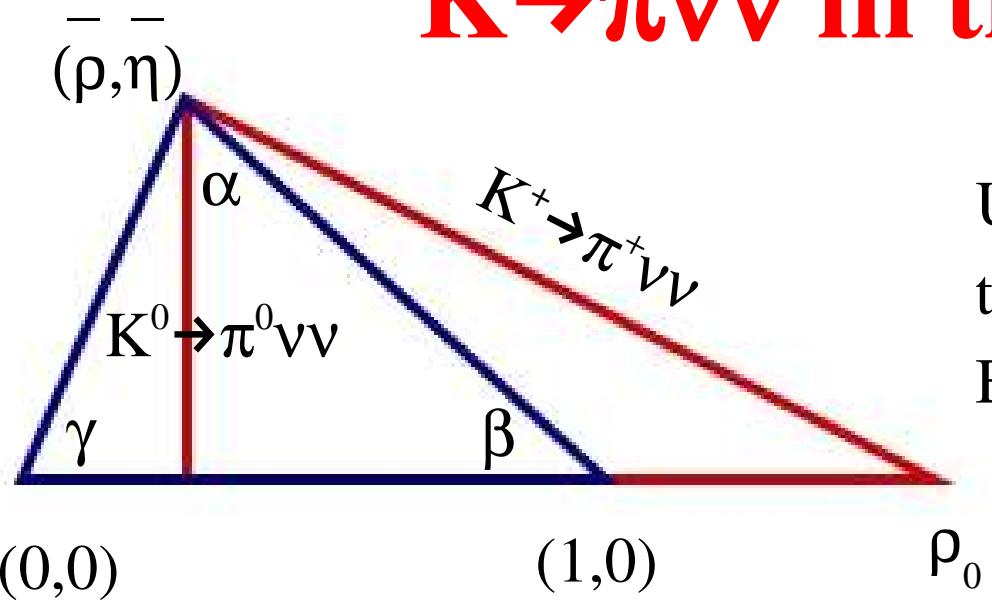
$$\begin{aligned}\lambda &= V_{us} \\ \lambda_c &= V_{cs}^* V_{cd} \\ \lambda_t &= V_{ts}^* V_{td}\end{aligned}$$

$$\kappa_+ = r_{K^+} \cdot \frac{3\alpha^2 Br(K^+ \rightarrow \pi^0 e^+ \nu)}{2\pi^2 \sin^4 \theta_W} \cdot \lambda^8$$

- Hadronic matrix element measured
- $r_{K^+} = 0.901$  – isospin breaking correction, arising in relating  $K^+ \rightarrow \pi^+ \nu \bar{\nu}$  to  $K^+ \rightarrow \pi^0 e^+ \nu$



# $K \rightarrow \pi \nu \bar{\nu}$ in the SM

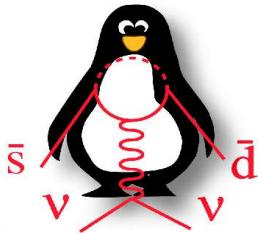


Using the current CKM parameters  
the SM prediction is:  
 $\text{BR}(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (8.0 \pm 1.1) \times 10^{-11}$   
 NLO calculations

- $K \rightarrow \pi \nu \bar{\nu}$  decays provide alternative method for determination of the apex of the unitarity triangle.
- The phase  $\beta$  derives from  $Z^0$ -penguin diagrams ( $\Delta S=1$ ) whereas in  $B^-$  in  $A(J/\psi K_S)$  originates in the  $B_d^- - \bar{B}_d^0$  mixing box diagram ( $\Delta B=2$ )

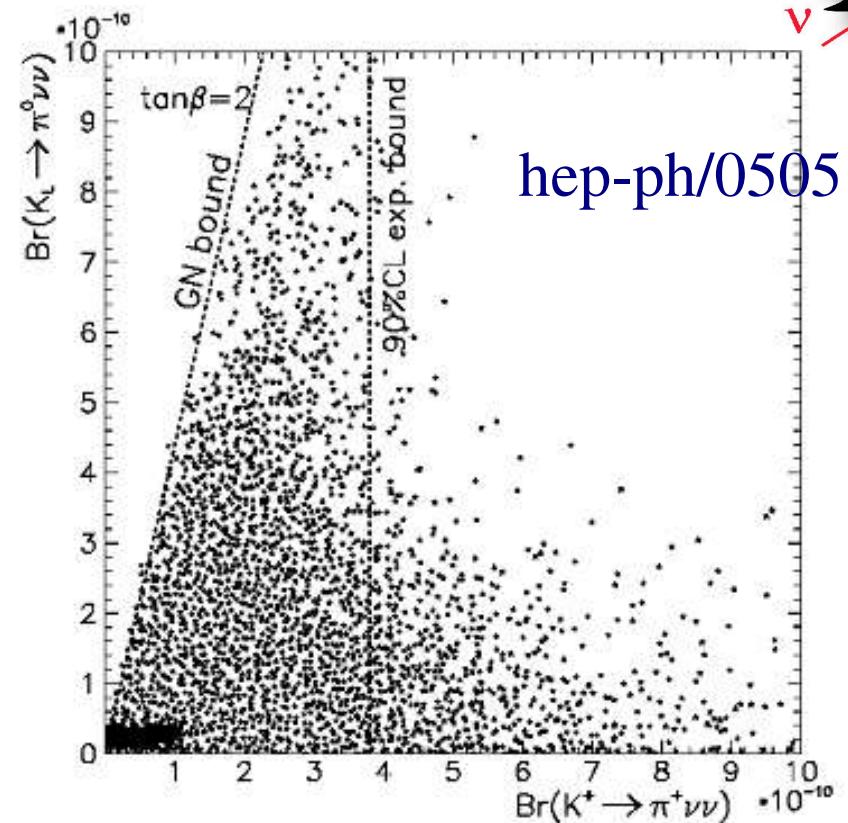
$$\sin(2\beta)_{K \rightarrow \pi \nu \bar{\nu}} = \sin(2\beta)_{B \rightarrow J/\psi K_S}$$

- A deviation from the upper equation will be a clear indication of new physics

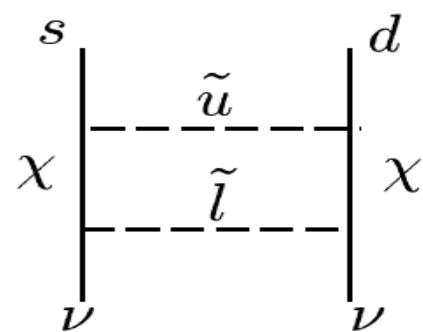
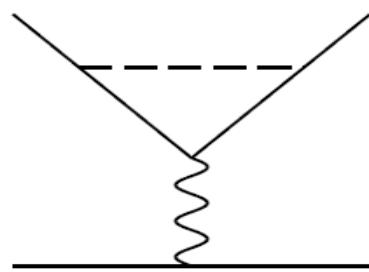
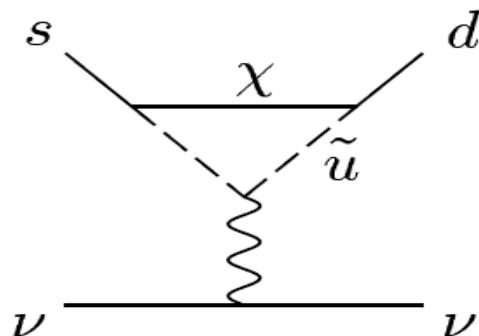


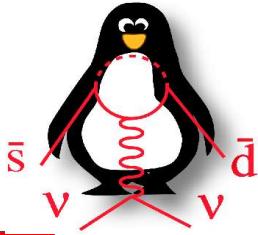
# Theory predictions

Model	Prediction - $\times 10^{-10}$
SM	$8.0 \pm 1.1$
MFV hep-ph/0310208	19.1
EEWP NP B697 133	$7.5 \pm 2.1$
EDSQ hep-ph/0407021	15
MSSM hep-ph/0408142	40



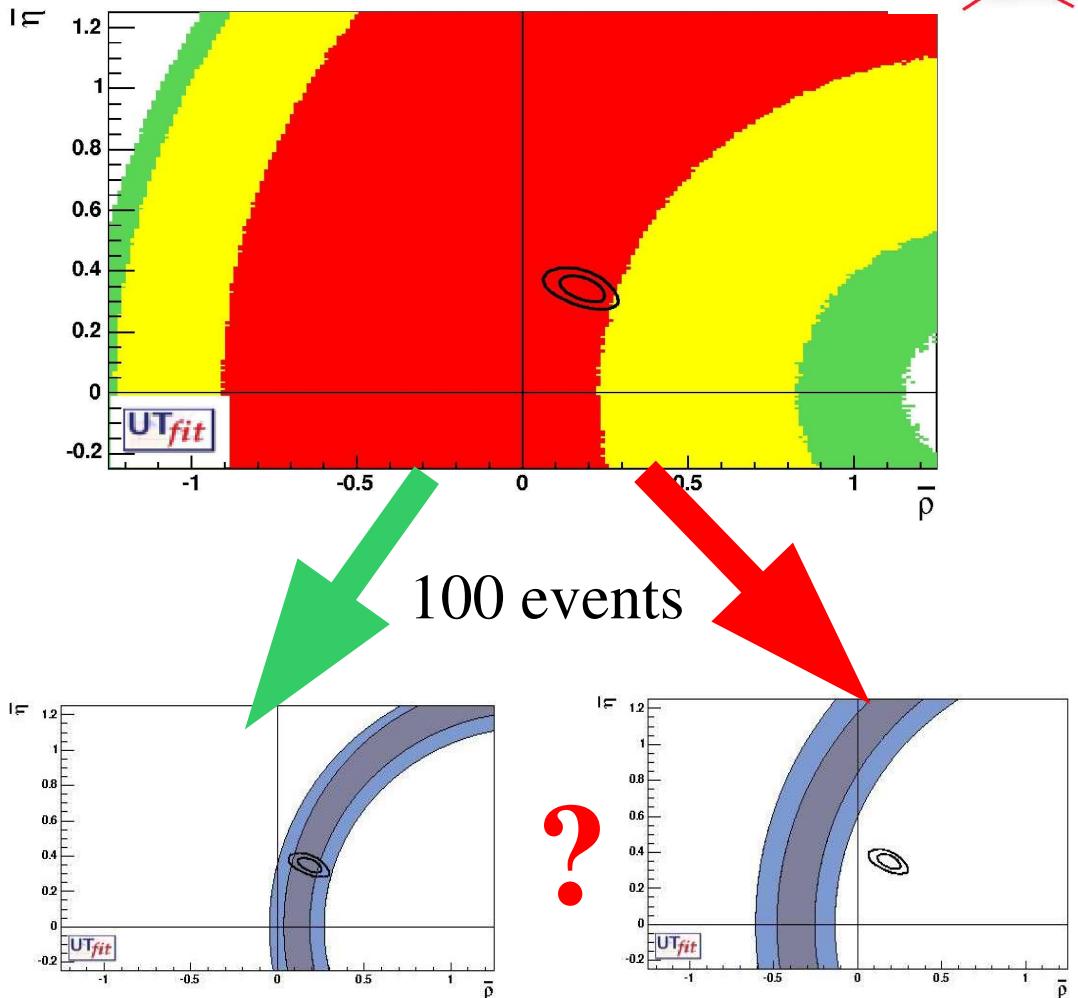
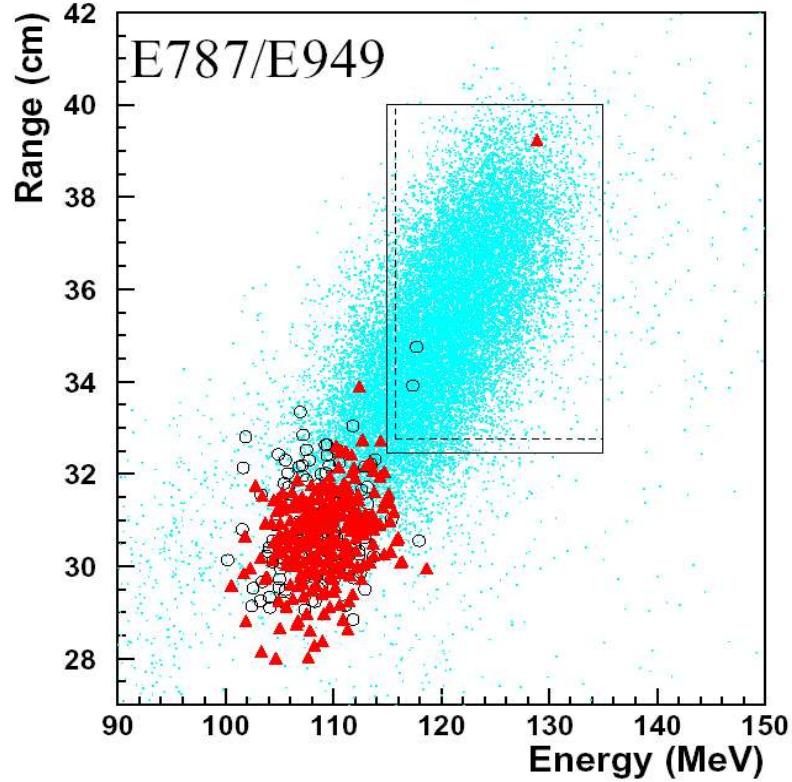
hep-ph/0505171





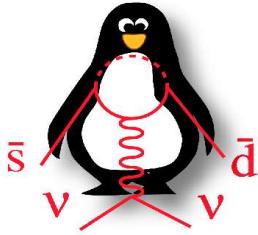
# Current situation

hep-ex/0403036 PRL93 (2004)

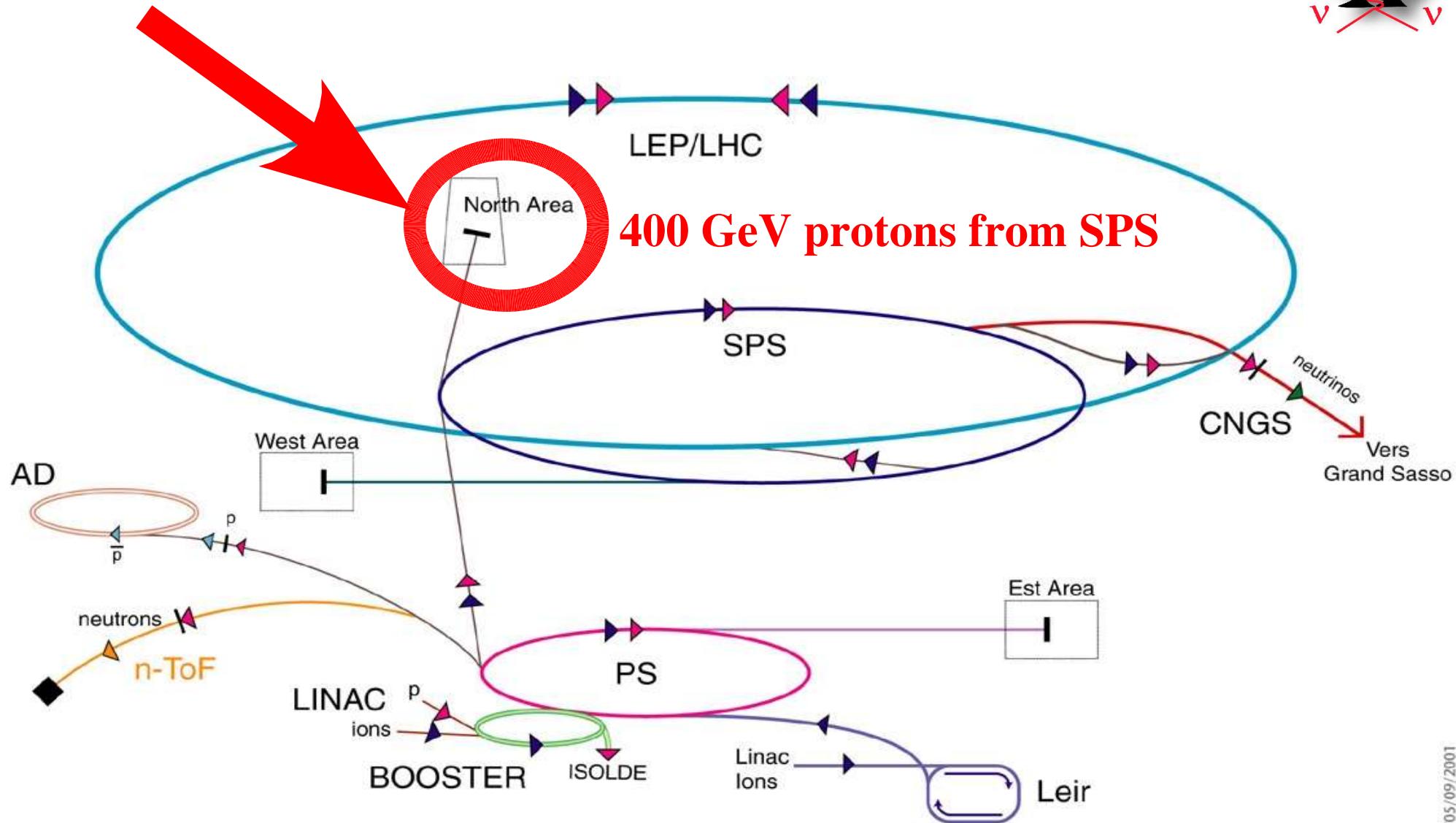


- Experiment with stopped Kaons
- $BR(K^+ \rightarrow \pi^+ vv) = 1.47^{+1.30}_{-0.89} \times 10^{-10}$
- Compatible with the SM

Where ?



# CERN



► p (proton)  
► ion  
► neutrons

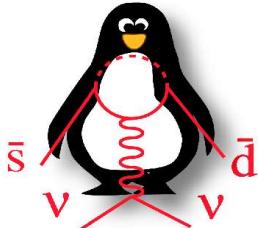
►  $\bar{p}$  (antiproton)  
► proton/antiproton conversion  
► neutrinos

AD Antiproton Decelerator  
PS Proton Synchrotron  
SPS Super Proton Synchrotron

LHC Large Hadron Collider  
n-ToF Neutrons Time of Flight  
CNGS Cern Neutrinos Grand Sasso

Who will make the experiment ?

P326



# P326 collaboration

CERN-SPSC-2005-013

SPSC-P-326

11.6.2005

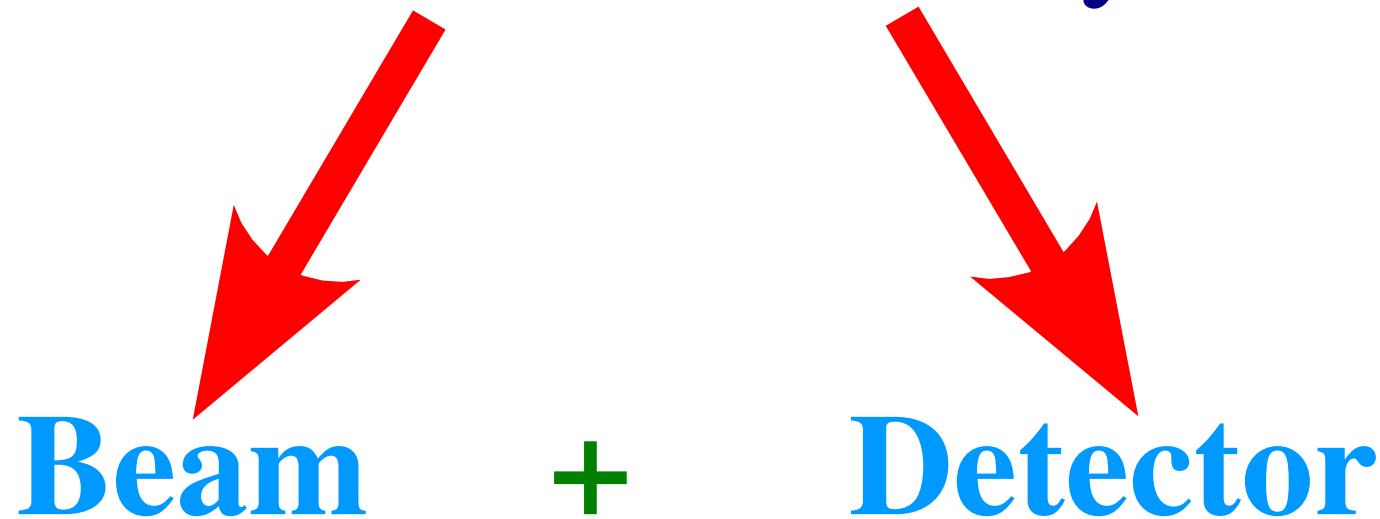
## Proposal to Measure the Rare Decay $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ at the CERN SPS

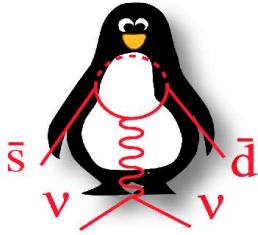
**Signed by 94 physicists, 16 institutes  
Submitted to SPSC**

CERN, Dubna, Ferrara, Florence, Frascati,  
Mainz, Merced, Moscow, Naples, Perugia,  
Protvino, Pisa, Rome, Saclay, Sofia, Turin

# How do we plan to measure

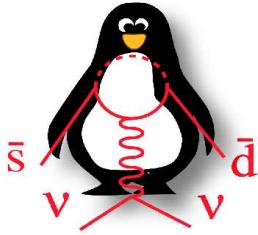
$K^+ \rightarrow \pi^+ \nu \bar{\nu}$  decay





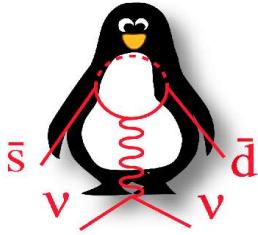
# AIM

- Collect  $\sim 80 \text{ K}^+ \rightarrow \pi^+ \nu \bar{\nu}$  events with background  $\approx 10\%$ 
  - ✗ 2 years of data taking
  - ✗ 10% acceptance
  - ✗  $\text{BR} \approx 1 \times 10^{-10}$
  - ✗ Losses (Dead time)  $\approx 20\%$
- We need  $\approx 5 \times 10^{12} \text{ K}^+$  decays per year



# Experiment strategy

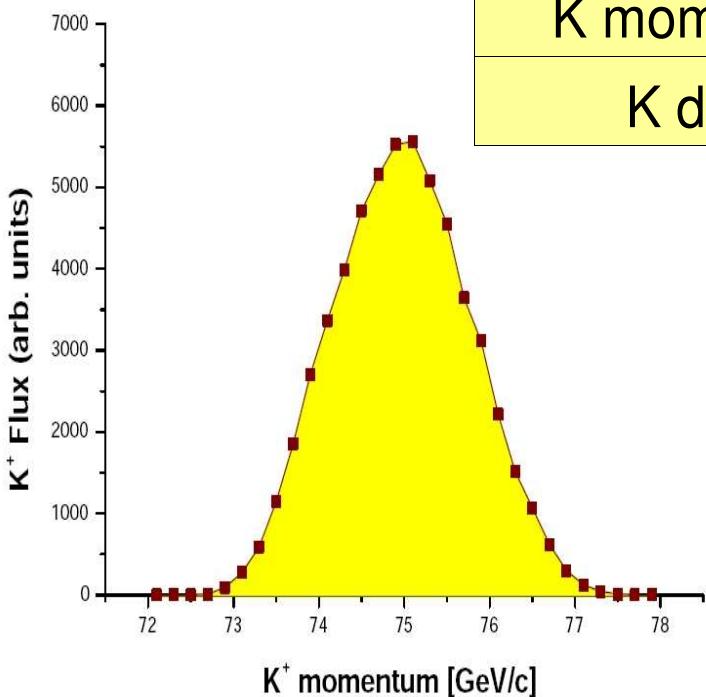
- Kaon decays in flight
- Unseparated  $K^+$  beam
- High Kaon momentum
  - High acceptance
- Reuse the NA48 underground hall, part of the beam setup and part of the detectors
  - P326 is not a continuation of NA48/2. It is a new experiment which will get its name once it is approved.



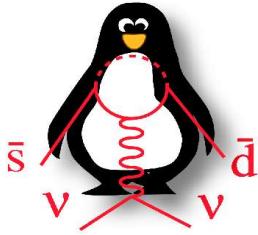
# Beam

- Unseparated beam  
not proton limited
- 60m decay volume
- Beam size:
  - $3.2 \times 4.4 \text{ cm}$
  - $14 \text{ cm}^2$

	Current Beam	Beam – P326
Duty cycle (s/s)	4.8/16.8	4.8/16.8
Pulses per year	$3 \times 10^5$	$3 \times 10^5$
Protons/pulse	$1 \times 10^{12}$	$3 \times 10^{12}$
Beam acceptance ( $\mu\text{sr}$ )	0.4	16
Beam flux /pulse	$5.5 \times 10^7$	$250 \times 10^7$
K momentum (GeV/c)	60	75
K decays / year	$1 \times 10^{11}$	$48 \times 10^{11}$



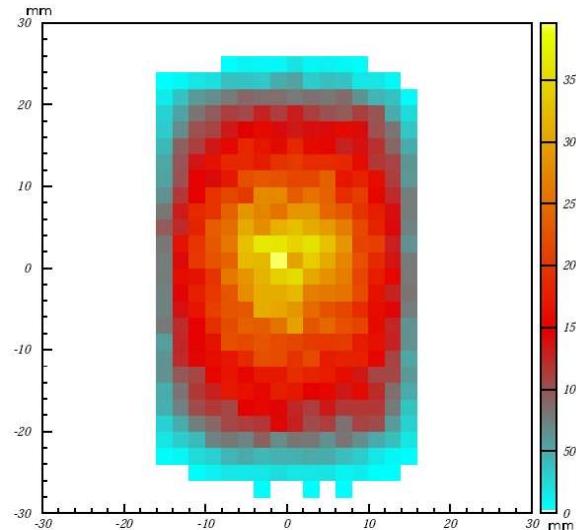
- Beam momentum – 75GeV/c. Chosen after investigation and optimizing  $\pi/K$  ratio, K decay flux and acceptance
- RMS:  $\Delta p/p = 1\%$



# Beam spectrometer

- Assuming 3s effective spill length  
**~1 GHz hadron beam**  
**60MHz / cm<sup>2</sup>**

*Y vs X at Station1 - pixels*

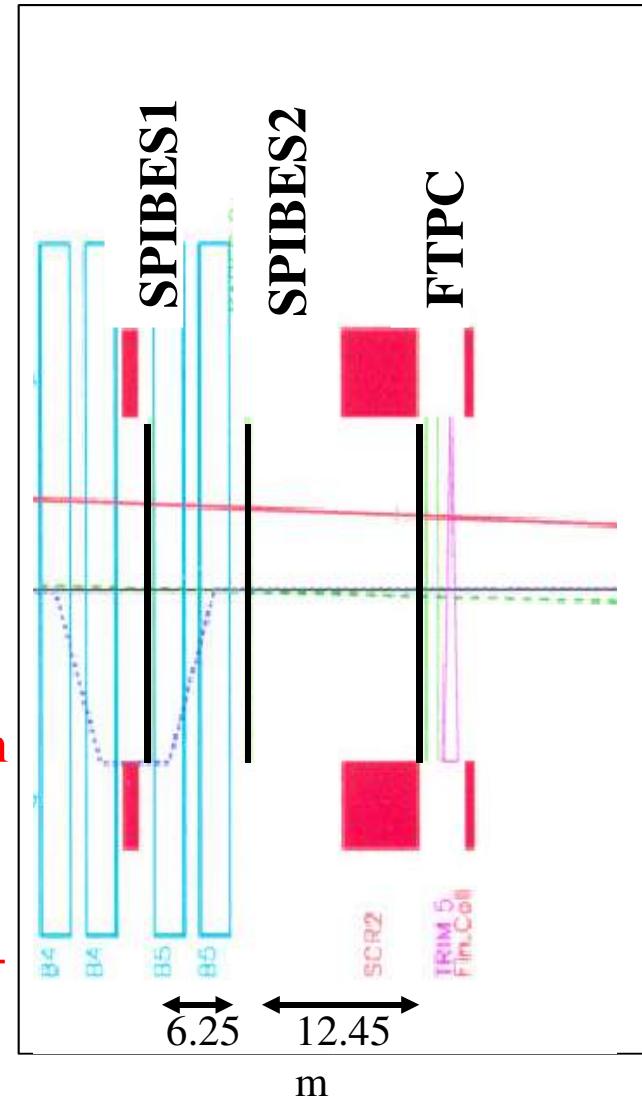


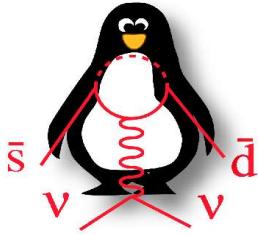
Beam spot

## Requirements

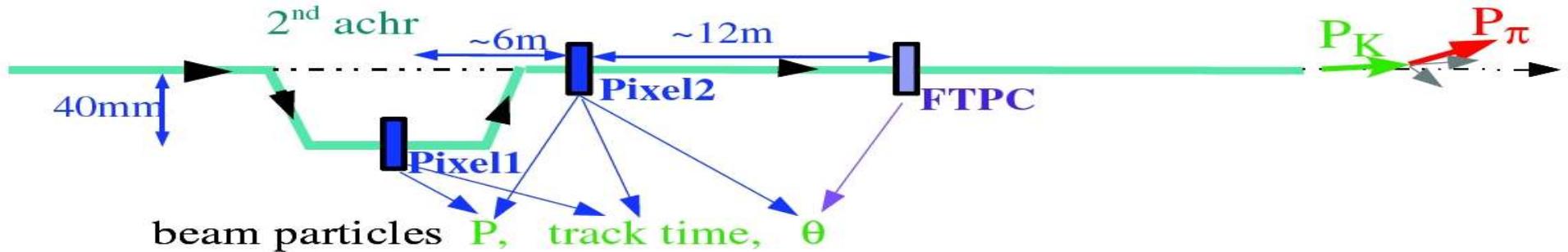
- Momentum resolution: <0.5%
- Angular resolution - <17 $\mu$ rad
- Time resolution ~150ps per station
- Material budget <<1% $X_0$  per station
- Should survive 1GHz hadron beam

Design: Hybrid detector



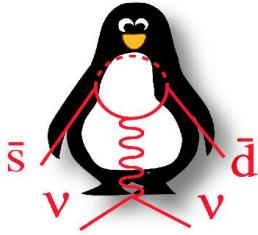


# Gigatracker: SPiBeS



- 2 stations of hybrid silicon micropixels, measuring deflection inside achromatic magnet ensemble
- Minimal thickness is  $0.4\%X_0$  per station
- Provides momentum and time measurement

- Very challenging analog+digital chip
- Solutions under investigation
  - ***300μm thick detector+electronics***
  - ***300x300μm sensors***
  - ***Custom high performance TDC ASIC***
  - ***Evaluating 0.25 against 0.13μm technology***



# Gigatracker: FTPC

- Micromegas gas chambers operated in TPC mode

- Used in NA48/2

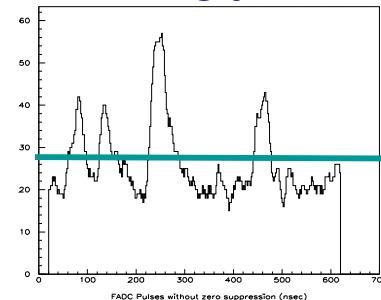
- Rate per micro-strip  $\sim 2$  MHz
- Time resolution  $\sim 0.6$  ns
- Position resolution – 70mm

- 20Mhz rate per strip in P326

- The long drift (600 ns) makes a standalone pattern recognition very difficult – use also SPiBeS2

- Read-out with 1 GHz FADC

480MHz FADC signal

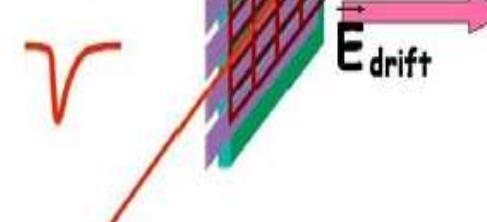


Micromegas  
Gap 50  $\mu$ m

Micromegas  
Gap 50  $\mu$ m

$T_{drift1}$

$\nu$



$T_{drift2}$

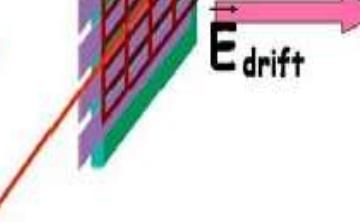
$T_{drift2}$

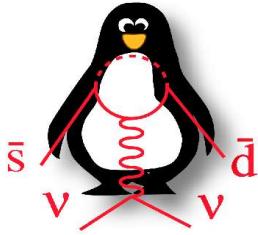
$\nu$

Micromegas  
Gap 50  $\mu$ m

Micromegas  
Gap 50  $\mu$ m

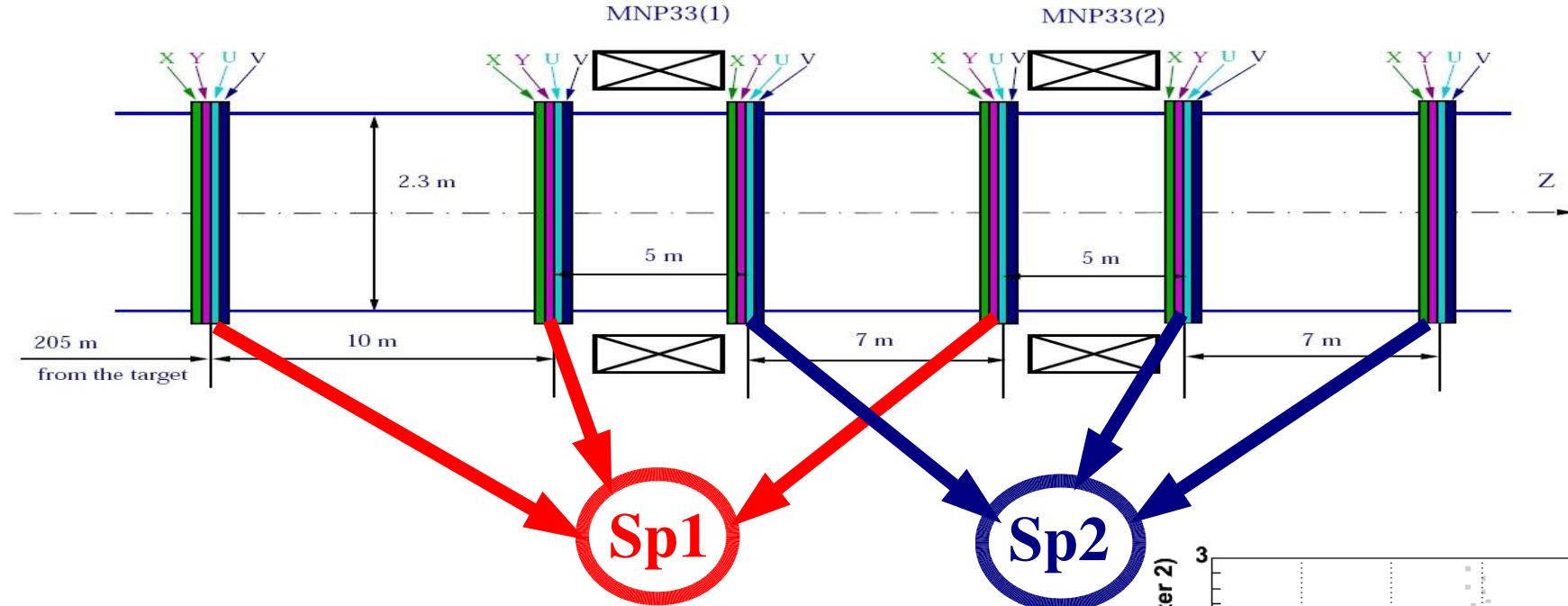
$E_{drift}$



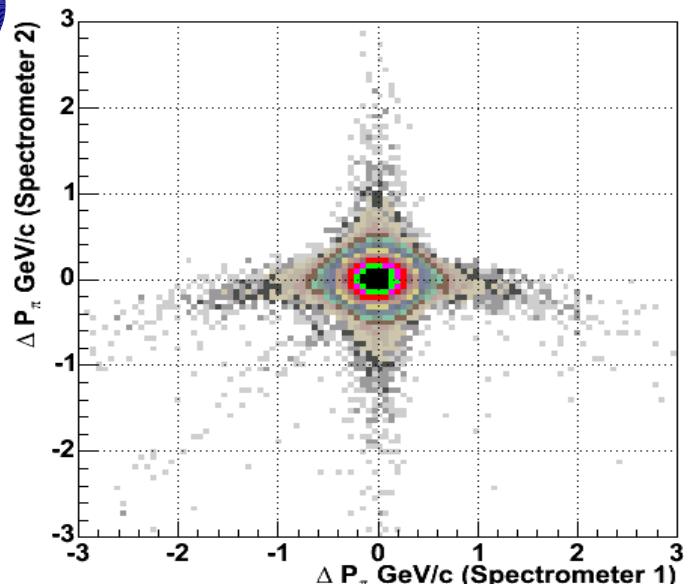


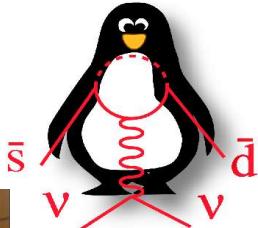
# Downstream spectrometer

- Double spectrometer – measure momentum twice



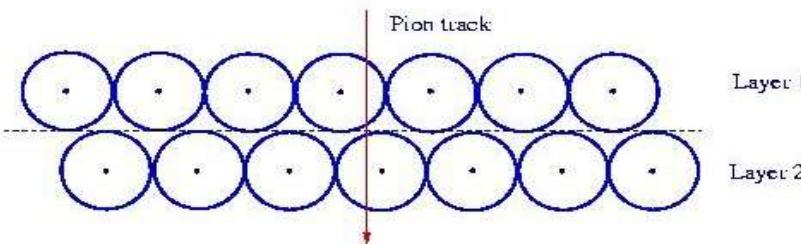
- Minimum material – minimal multiple scattering
- Reconstruction tails uncorrelated – redundancy
- Design: **Straw Chambers**



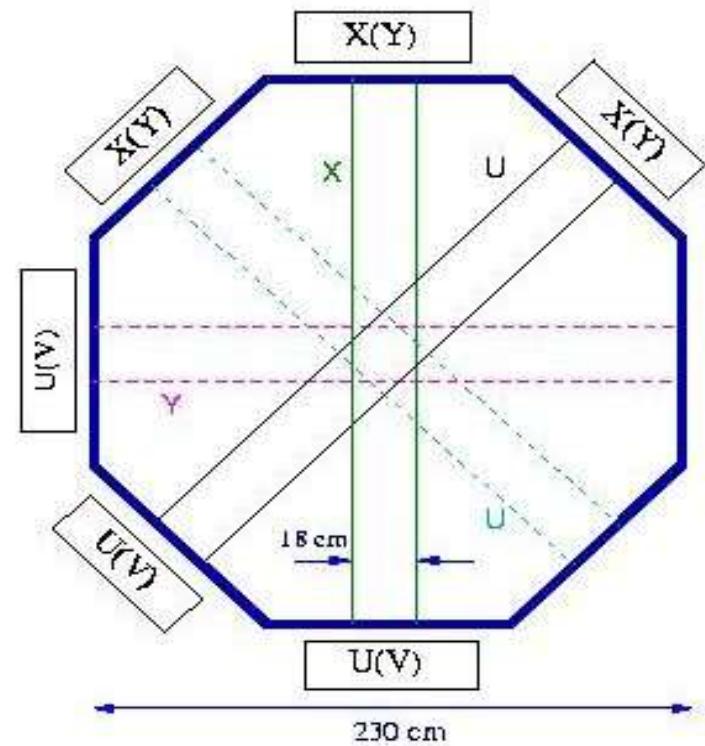


# Straw tracker

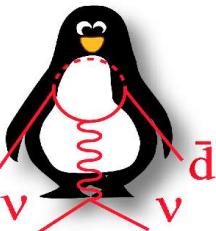
- Straw can operate in vacuum
- Well known technology (ATLAS, COMPASS)
- Experience (JINR, Dubna)
- Double layers per view



- 4 views to construct a chambre
- Each half/layer consists of 112x9.8mm + 16x4.8mm diameter straws
- Small regions with only 1 or 2 coordinates

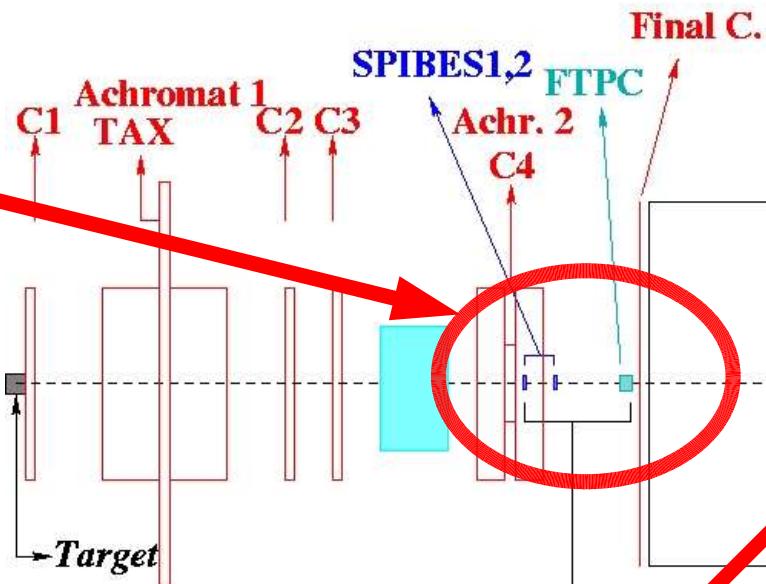


P326

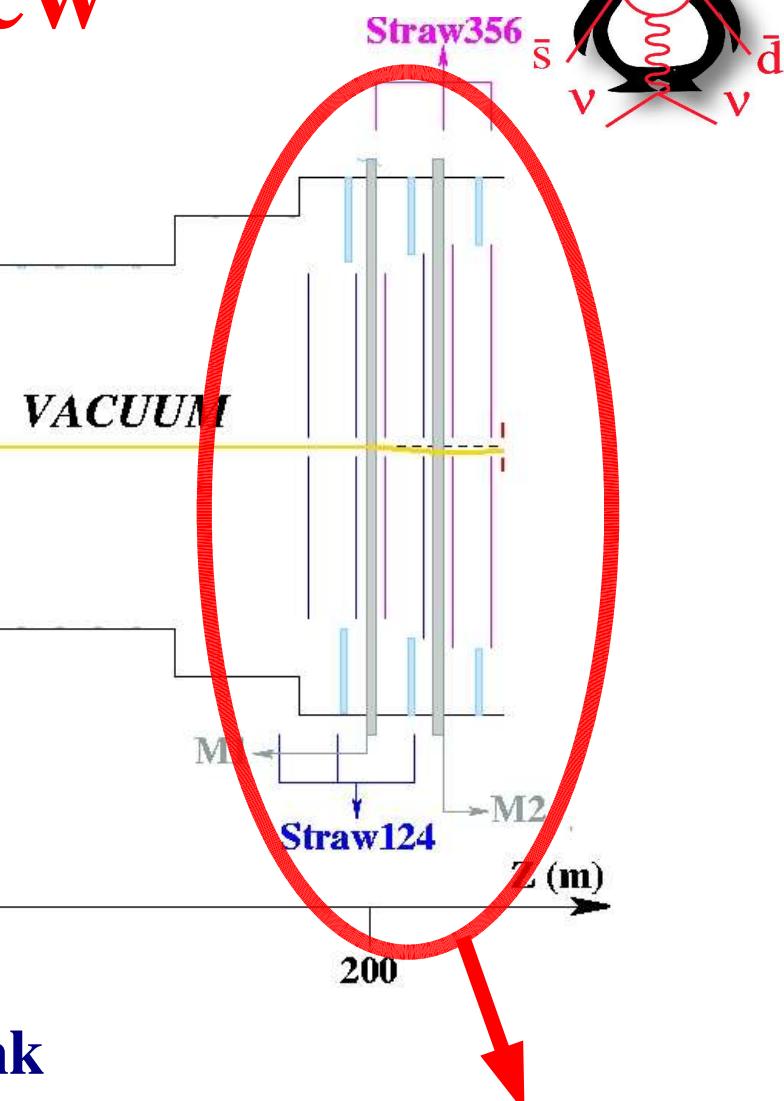


# Beam line view

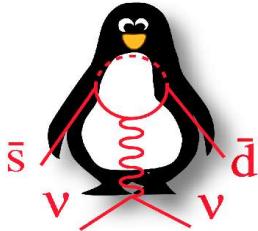
Beam  
Spectrometer



NA48 vacuum tank

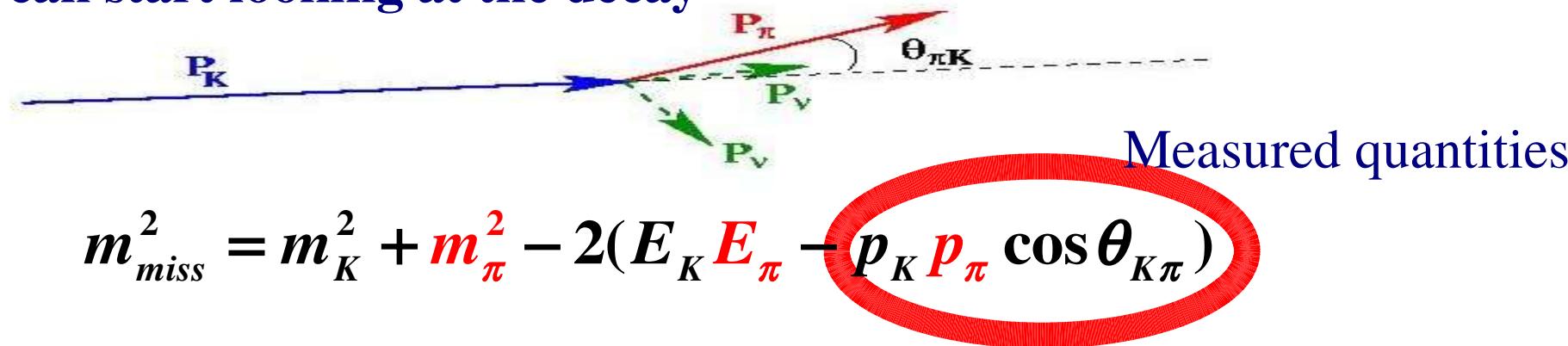


Downstream  
Spectrometer



# Kinematics

Now we can start looking at the decay



- Define 2 regions in missing mass distribution

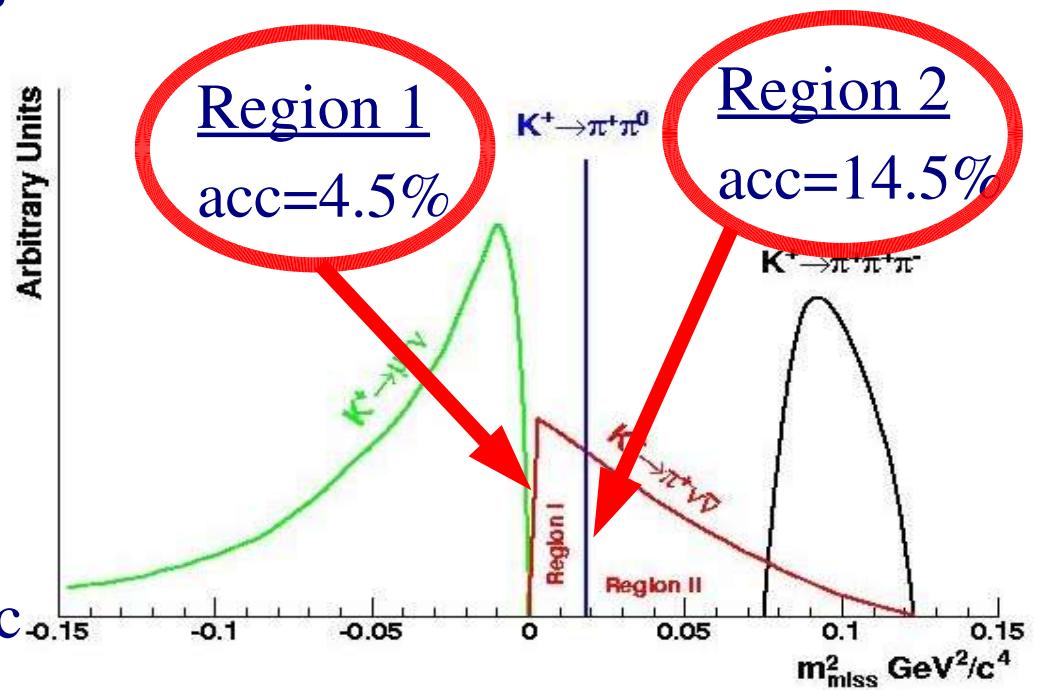
- Region 1:

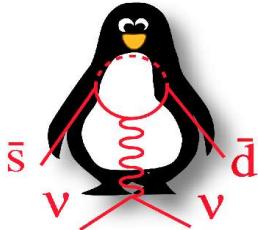
$$0 < m_{miss}^2 < 0.01 \text{ GeV}^2/c^4$$

- Region 2:

$$0.026 < m_{miss}^2 < 0.068 \text{ GeV}^2/c^4$$

- Both regions with  $15 < P_\pi < 35 \text{ GeV}/c$





# Background

Decay	B.R. [%]
$K^+ \rightarrow \mu^+ \nu$ ( $K_{\mu 2}$ )	$\sim 63$
$K^+ \rightarrow \mu^+ \nu \gamma$ ( $K_{\mu 2\gamma}$ )	$\sim 0.55$
$K^+ \rightarrow \pi^+ \pi^0$	$\sim 21$
$K^+ \rightarrow \pi^+ \pi^+ \pi^-$	$\sim 6$
$K^+ \rightarrow \pi^0 \pi^0 \pi^+$	$\sim 2$
$K^+ \rightarrow \pi^0 \mu^+ \nu$ ( $K_{\mu 3}$ )	$\sim 3$
$K^+ \rightarrow \pi^0 e^+ \nu$ ( $K_{e3}$ )	$\sim 5$

For 1 signal event we have to reject:

$6.3 \times 10^9 K^+ \rightarrow \mu^+ \nu$  events

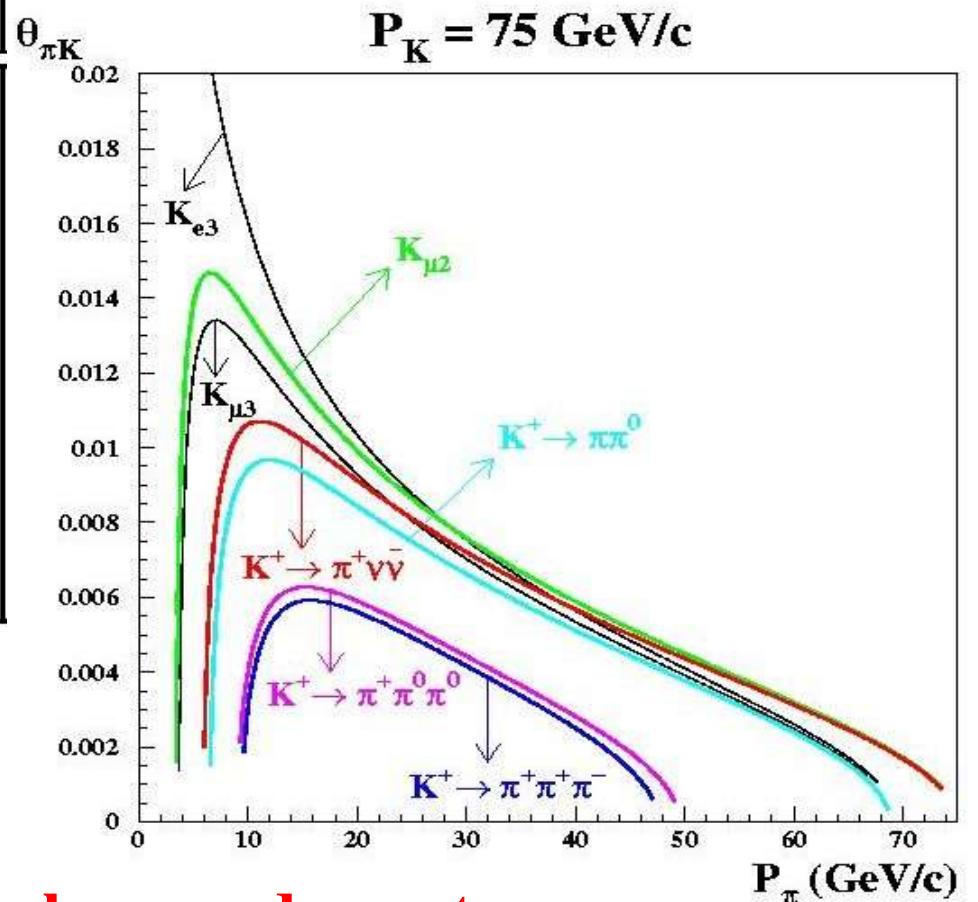
$2.1 \times 10^9 K^+ \rightarrow \pi^+ \pi^0$  events

$0.6 \times 10^9 K^+ \rightarrow \pi^+ \pi^+ \pi^-$  events

$0.2 \times 10^9 K^+ \rightarrow \pi^+ \pi^0 \pi^0$  events

$0.5 \times 10^9 K_{e3}$  events

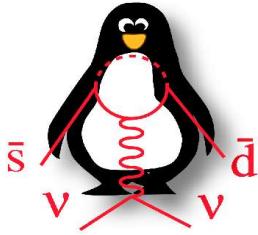
$0.3 \times 10^9 K_{\mu 3}$  events, ....



$10^{10}$  background events

Solution:

Veto + Particle Identification



# Photon vetoes

- Requirements

- Suppression of  $K^+ \rightarrow \pi^+ \pi^0$ ,  $K\mu 3$ ,  $K^+ \rightarrow \pi^+ \gamma\gamma$ , ...
  - Inefficiency  $< 10^{-4}$  for  $E_\gamma > 100\text{MeV}$  (and  $< 10^{-5}$  for  $E_\gamma > 1\text{GeV}$ )

- Large Angle vetoes

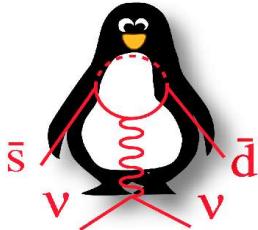
- Lead-scintillator sandwich calorimeter around the decay region

- Liquid-Krypton Calorimeter (LKR)

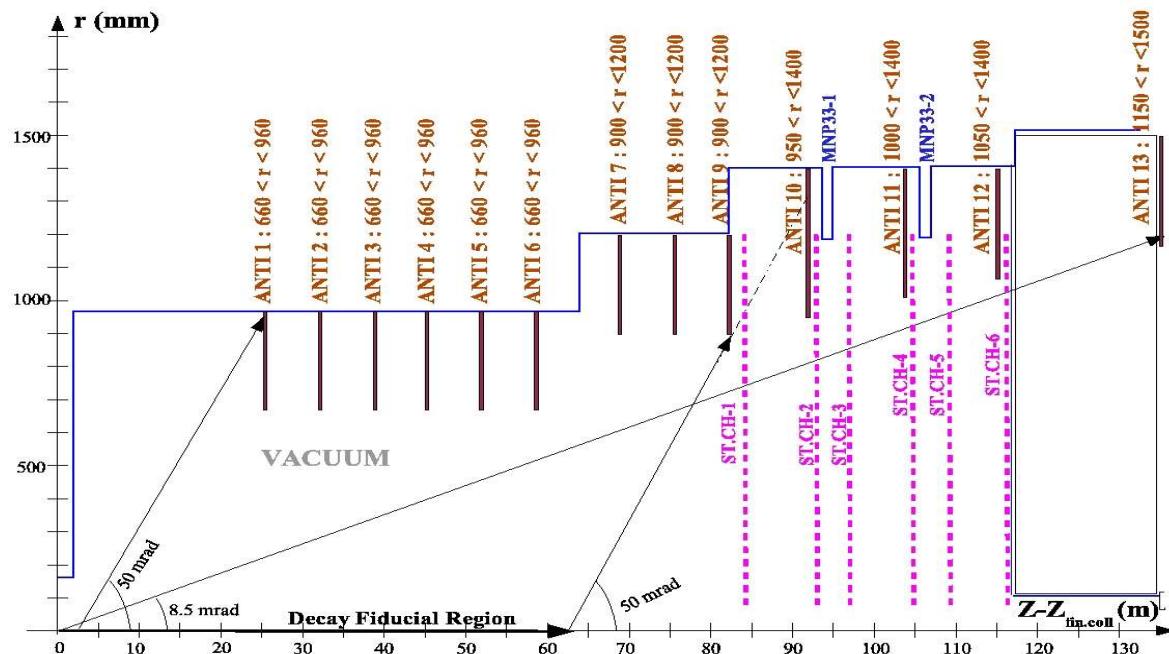
- Use existing NA48 calorimeter as a photon veto

- Small Angle vetoes

- Covering of the beam pipe



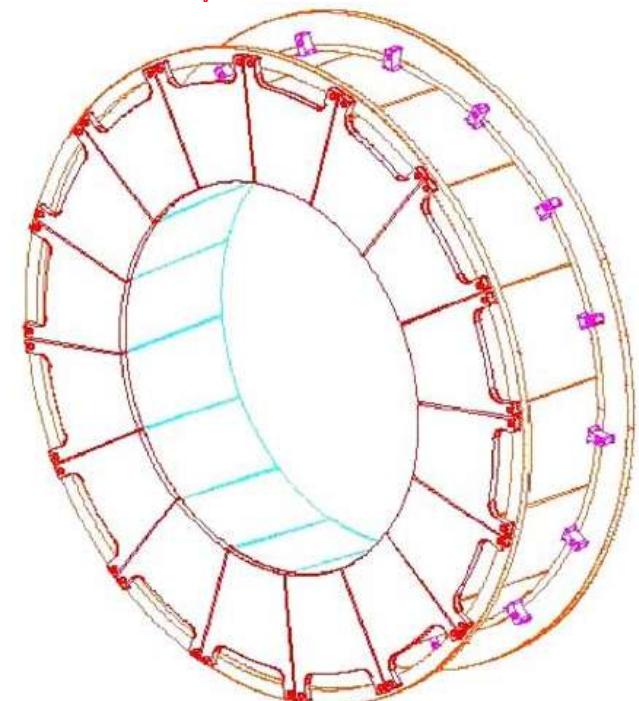
# Large Angle Veto

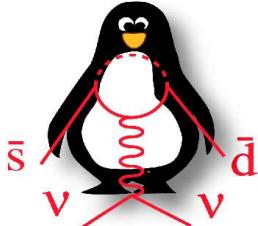


- 13 annular rings in vacuum
- Hermeticity 8.5 - 50mrad
- Inefficiency budget:  
 $10^{-4}$  for  $E_\gamma > 100\text{MeV}$   
 $10^{-5}$  for  $E_\gamma > 1\text{GeV}$

## • 2 solutions under considered

- Pb/Sci 1mm/5mm > 80 layers ( $16 X_0$ ),  
WLS readout, 20 p.e. per MIP
- Pb+Sci fibres modules with two-side  
readout (“spaghetti calorimeter”).





# LKR



- Main detector element for NA48/0/1/2
- 13212 cells of  $2 \times 2 \text{ cm}^2$  in liquid krypton
- High resolution
  - 250ps time resolution
  - 1mm spacial resolution
  - $(3.2\%/\sqrt{E} \text{ [GeV]})$  energy resolution
- Noise about 90MeV per cluster

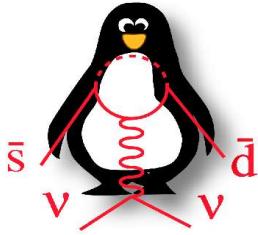
**Inefficiency budget:**

$<10^{-5}$  for  $E_\gamma > 1 \text{ GeV}$

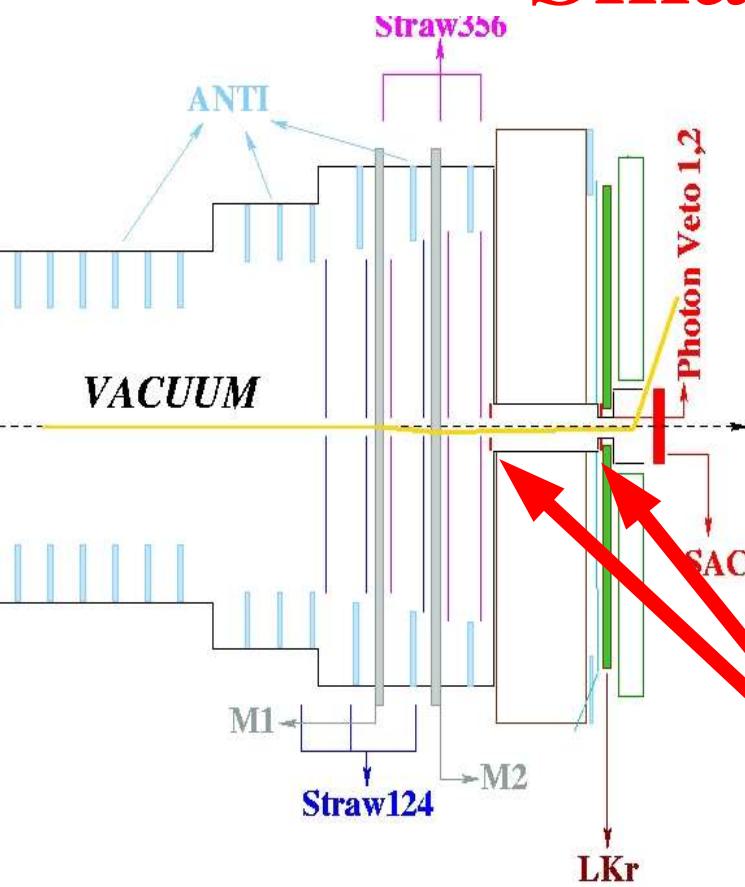
$<10^{-5}$  electron ID inefficiency

**being tested with data**

**Read-out and cryogenics system upgrades needed**



# Small Angles Veto



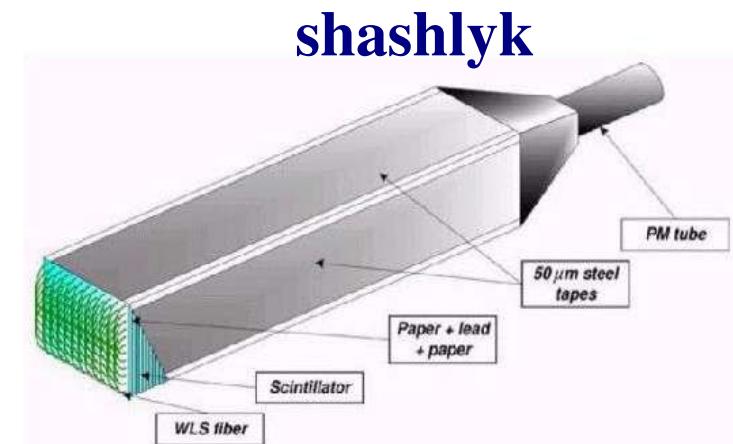
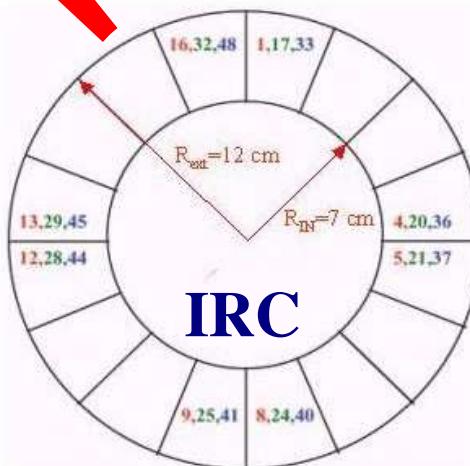
In order SAC to work we  
need to deflect the beam  
from the z-axis !!!

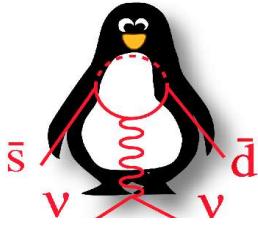
- IRC – Inner ring calorimeter
- SAC – Coverage down to  $\theta = 0^\circ$

## 2 solutions in consideration:

**Shashlyk:** Layers of Pb +  
scintillator (total 17  $X_0$ )

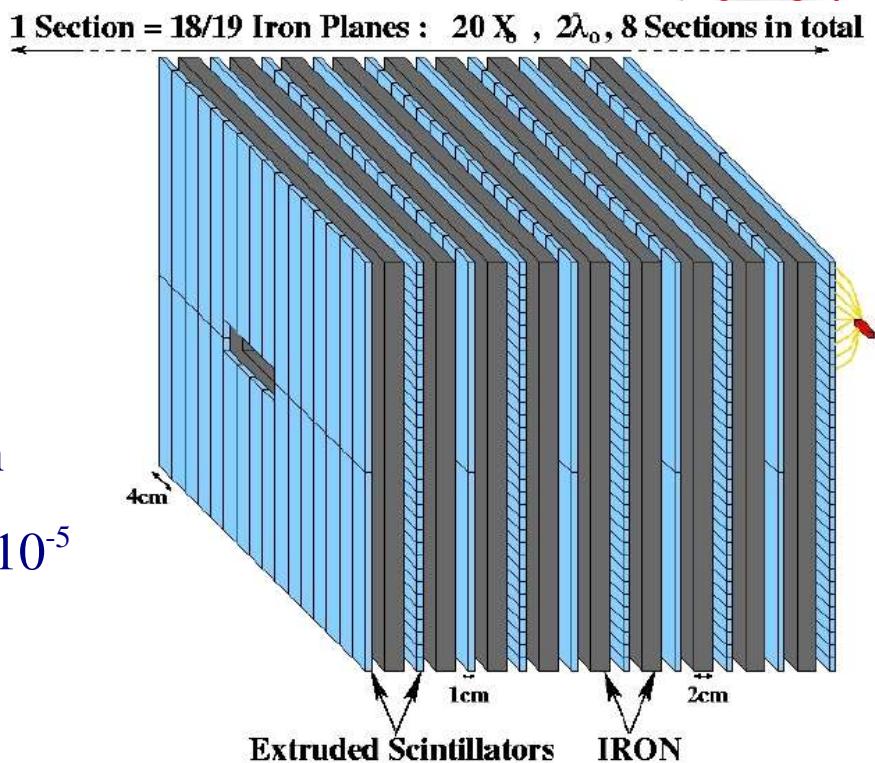
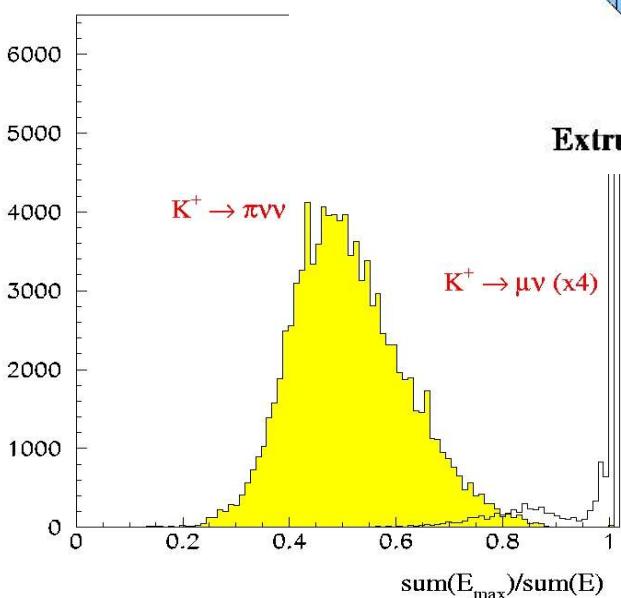
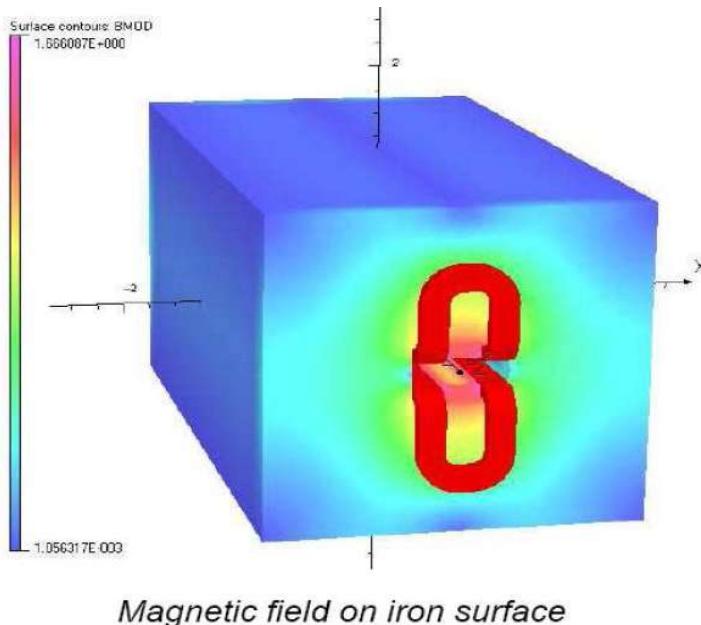
**PbWO<sub>4</sub> crystals**

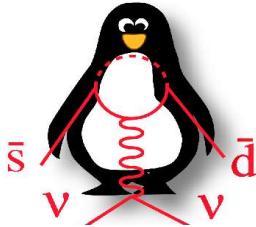




# MAMUD

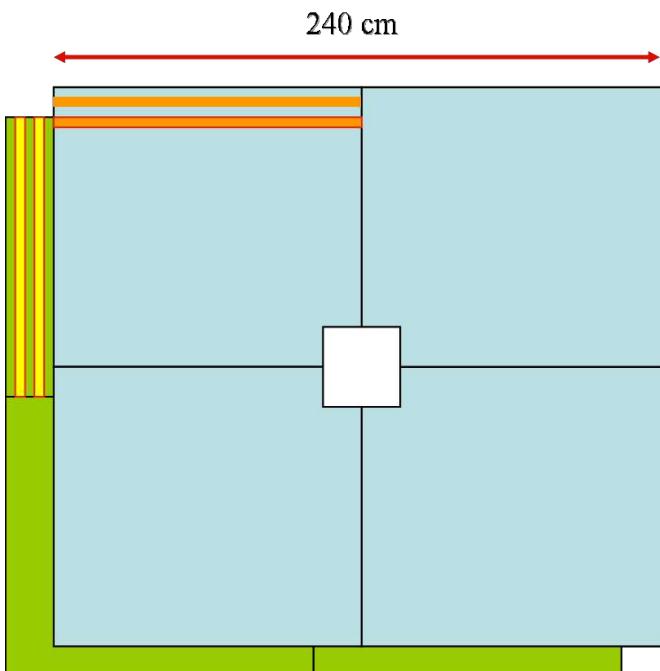
- Magnetized muon and hadron detector
  - $\pi/\mu$  separation for  $K_{\mu 2}$  suppression
  - beam sweeping for SAC
- Design
  - Magnetized iron => 0.9 T field in the beam region
  - Instrumented by scintillators => muon rejection  $\sim 10^{-5}$





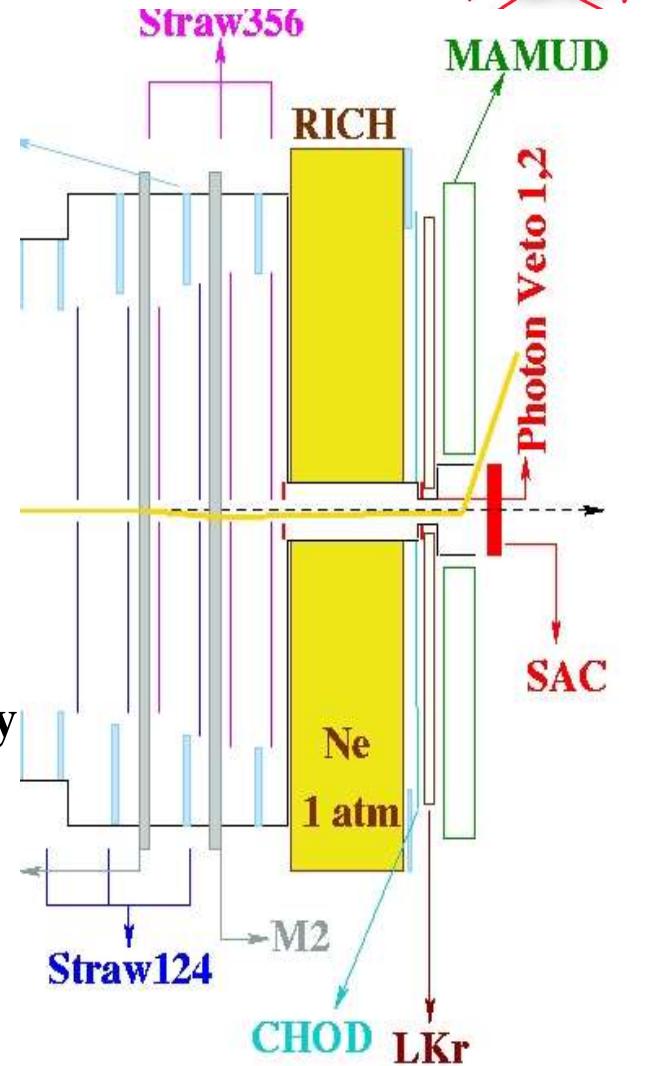
# RICH and CHOD

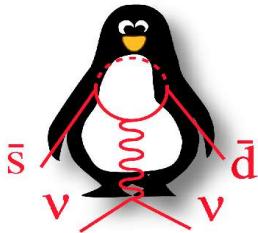
- **RICH**
    - Needed for additional  $\pi/\mu$  separation
    - Design under study (Kplus-like)



# Charged Hodoscope

- Excellent time resolution  
 $<100\text{ps}$
  - Rejection of high multiplicity events
  - Design: Multigap glass RPC  
(a la ALICE TOF)

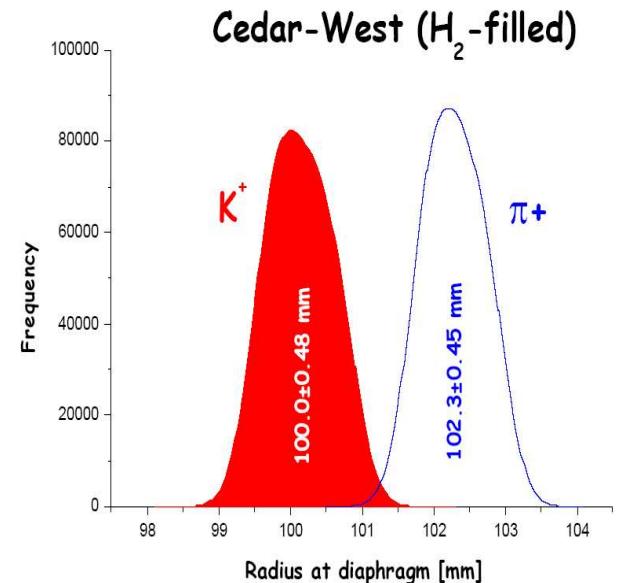
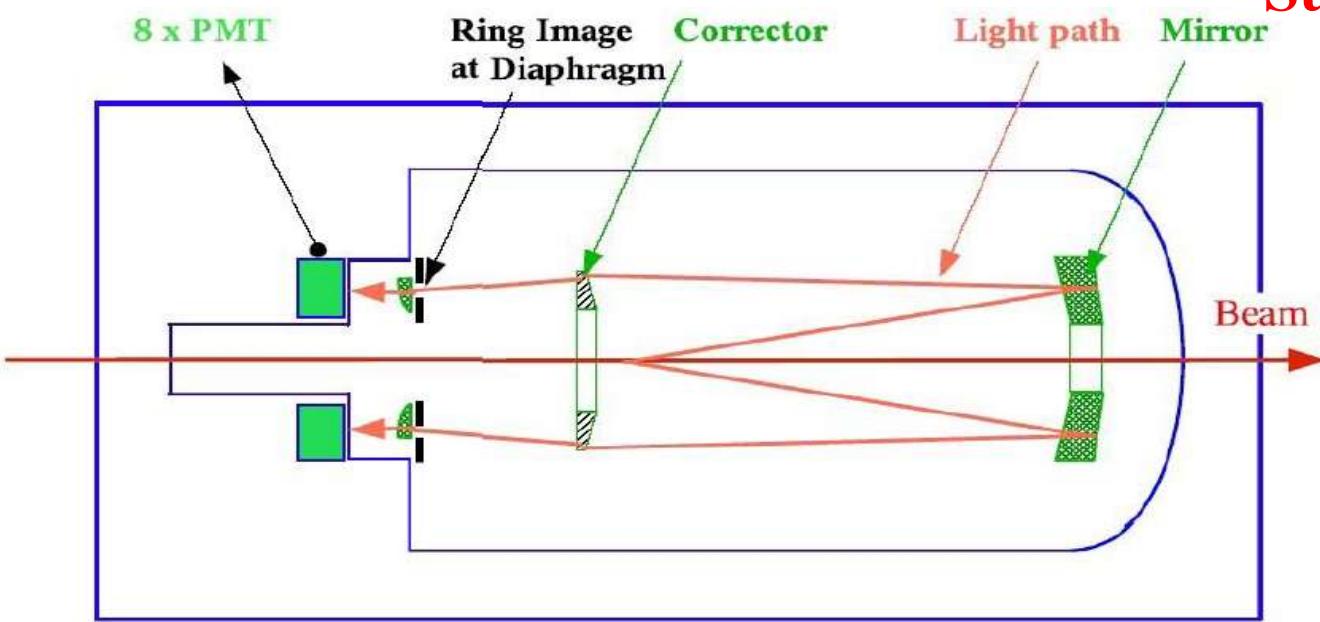




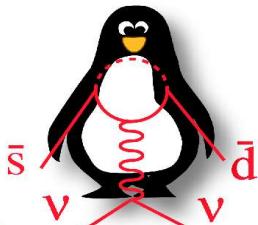
# CEDAR

- Differential Cerenkov counter with achromatic focus
- Requirement: **K<sup>+</sup> tagging together with CHOD** – allow to diminish the decay volume vacuum requirement by **1 order** of magnitude
- Two versions have been used in SPS
  - He-filled “North CEDAR”
  - N<sub>2</sub>-filled “West CEDAR”

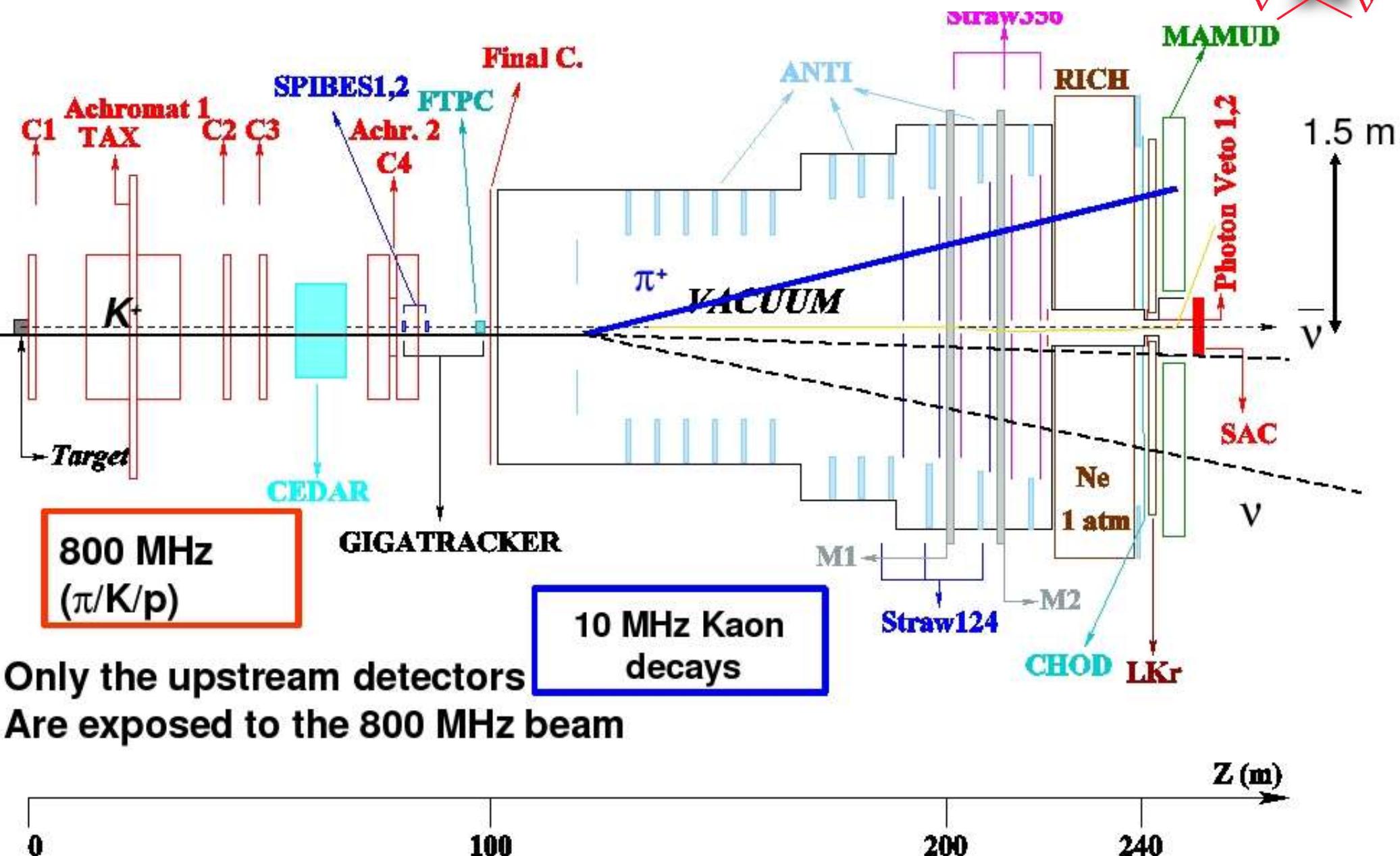
**CEDAR West filled with H<sub>2</sub>**  
**Suitable for P326**

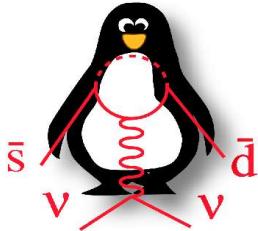


P326



# P326 Detector Layout





# $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ decay in P326 layout

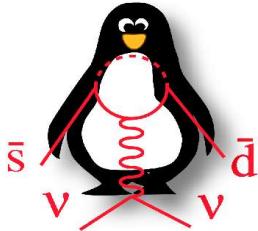
## PRELIMINARY

Decay	Type of rejection	Background/Signal
$K_{2\pi}$	photon veto, kinematics	<b>4.1 %</b>
$K_{\mu 2}$	$\mu$ particle ID, kinematics	<b>1.9 %</b>
$K_{e4}$	photon veto, kinematics, PID	<b>3.1 %</b>
3-tracks	charged veto, kinematics	<b>1.5 %</b>
$\pi^+ \pi^- \gamma$	photon veto, kinematics	<b>2.0 %</b>
$K_{\mu 2}$	$\mu$ particle ID, photon veto	<b>0.6 %</b>
$K_{e3}, K_{\mu 3}$ , others	photon veto, particle ID	<b>negligible</b>

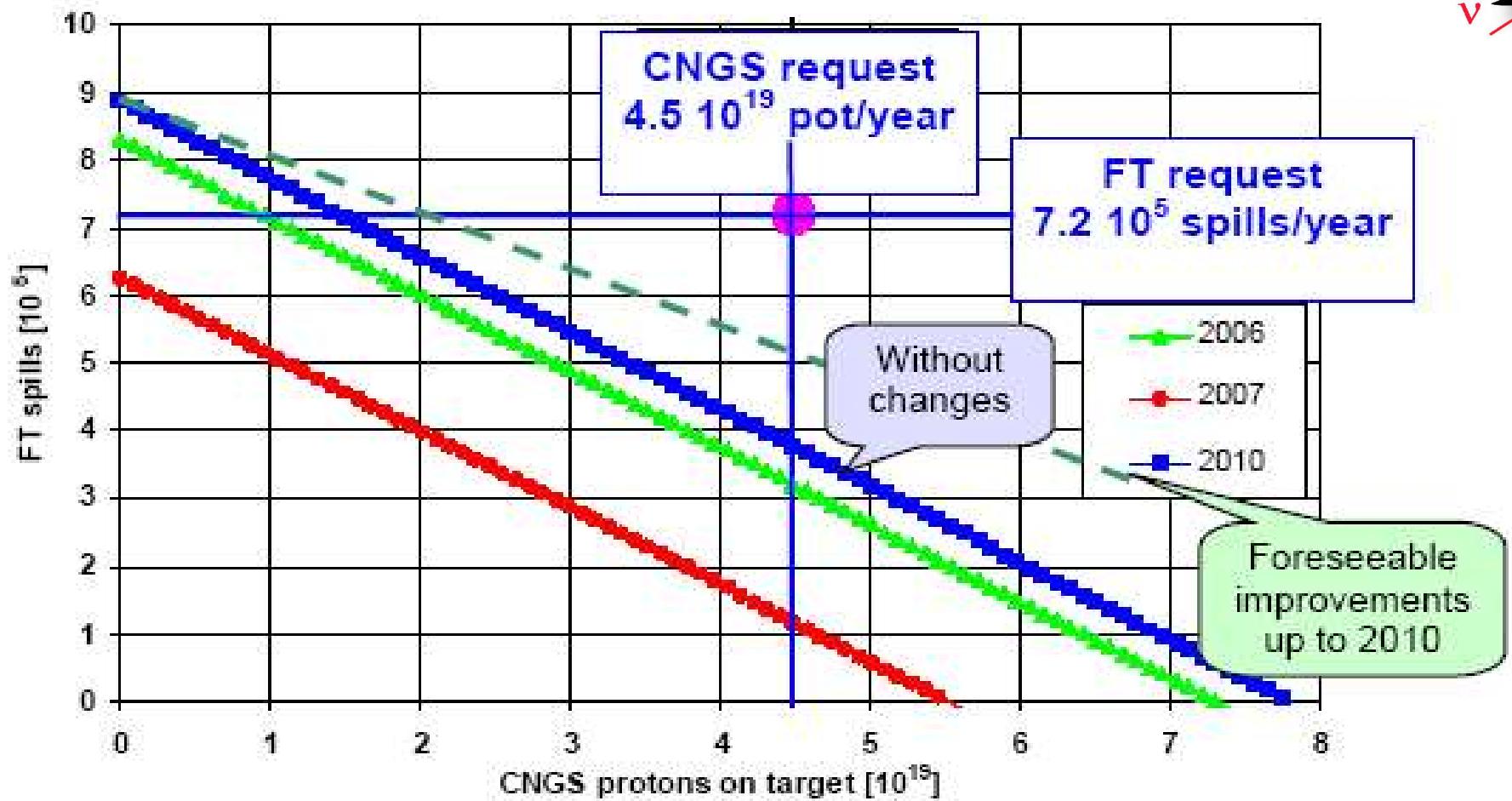
**Total: 13.2 %**

assuming SM branching

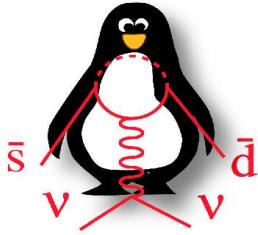
**WHEN ?**



# Proton availability at SPS

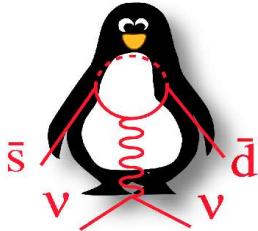


- $\geq 3 \times 10^5$  proton spills per year for fixed target experiments
- Fully compatible with approved COMPASS running, LHC filling and CNGS



# Time schedule

- 2003: Working groups started
- 2004: Parasitic tests in NA48/2 beam  
**Letter of Intent submitted**
- 2005: Design and development of main detectors  
**Proposal P326 submitted to SPSC**  
**Beam tests outside CERN (Frascati)**
- 2006-2008: Construction, Installation, Tests
- 2009-2010: Data-taking



# From Villars report

CERN-SPSC-2005-010

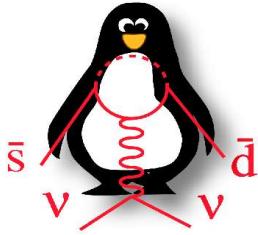
SPSC-M-730

February 28, 2005

## 3.3 Flavour Physics

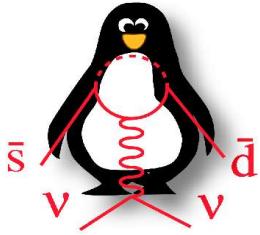
There is a strong physics case for pursuing an ambitious program of kaon physics at CERN, exploiting the high-energy proton beams available at the SPS for rare  $K$ -decay in-flight measurements. Building on its expertise in high-intensity neutral and charged kaon beams and on the outstanding physics achievements of the NA48, NA48/1 and NA48/2 experiments in the last decade, CERN should remain in the future a major laboratory for kaon physics at the sensitivity frontier.

The possibility of a precise measurement of the  $K \rightarrow \pi^+ \nu \bar{\nu}$  transition is exciting. The goal is to detect more than 100 signal events over two years starting in 2009. The challenge is for experimental sensitivity to a  $K$ -decay BR of order  $10^{-11}$ . A major upgrade of the present NA48/2 set-up would be necessary and the required R&D and detector developments should be supported. According to present studies this measurement appears globally competitive.

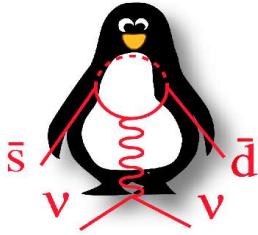


# Conclusion

- We have found a lucky combination where a **compelling physics case** can be addressed with an **existing accelerator**, employing the infrastructure (i.e. civil engineering, hardware, some sub-systems) of an **existing experiment**
- **P326: impressive opportunity** to measure  $\geq 80 \text{ K}^+ \rightarrow \pi^+ vv$  events in two years of data taking at CERN SPS
- **Backgrounds are challenging**, but **under control**
- **Proposal submitted**

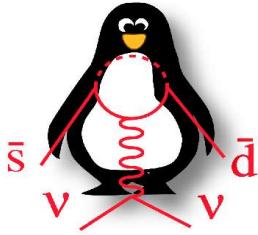


# SPARE SLIDES



# Detector

- CEDAR
  - Differential Cherenkov counter for positive kaon identification
- GIGATRACKER
  - To Track the beam before it enters the decay region
- ANTI
  - Photon vetoes surrounding the decay tank
- SPECTROMETER
  - 2 magnets + 6 straw chambers to track the kaon decay products
- RICH
  - For redundant muon/pion separation
- CHOD
  - Fast hodoscope to make a tight kaon-pion time coincidence ( $\sim 100$  ps)
- LKR
  - Forward photon veto and e.m. calorimeter
- MAMUD
  - Hadron calorimeter, muon veto and sweeping magnet
- SAC
  - Small angle photon vetoes



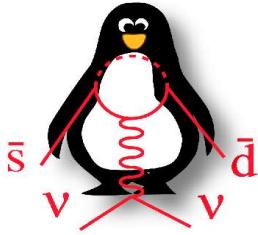
# CKM matrix

$V(u\bar{d})$	$V(u\bar{s})$	$V(u\bar{b})$
$V(c\bar{d})$	$V(c\bar{s})$	$V(c\bar{b})$
$V(t\bar{d})$	$V(t\bar{s})$	$V(t\bar{b})$

$c_{12}c_{13}$	$s_{12}c_{13}$	$s_{13}e^{-i\delta}$
$-s_{12}c_{23}-c_{12}s_{23}s_{13} e^{-i\delta}$	$c_{12}c_{23}-s_{12}s_{23}s_{13} e^{-i\delta}$	$s_{23}c_{13}$
$s_{12}s_{23}-c_{12}c_{23}s_{13} e^{-i\delta}$	$-c_{12}s_{23}-s_{12}c_{23}s_{13} e^{-i\delta}$	$c_{23}c_{13}$

Wolfenstein representation

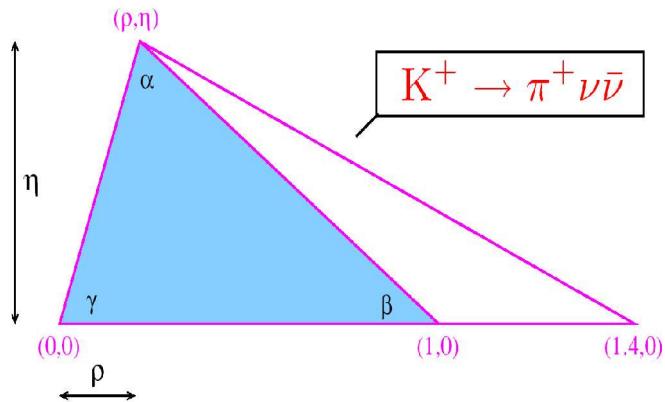
$$V_{CKM} = \begin{pmatrix} 1-\lambda^2 & \lambda & A\lambda^3(\rho - i\eta + \eta\lambda^2/2) \\ -\lambda & 1-\lambda^2/2-i\eta A^2\lambda^4 & A\lambda^2(1+\eta\lambda^2) \\ A\lambda^3(1-\rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix}$$



# Kaon Rare Decays in the SM

$$K_L \rightarrow \pi^0 \nu \bar{\nu}$$

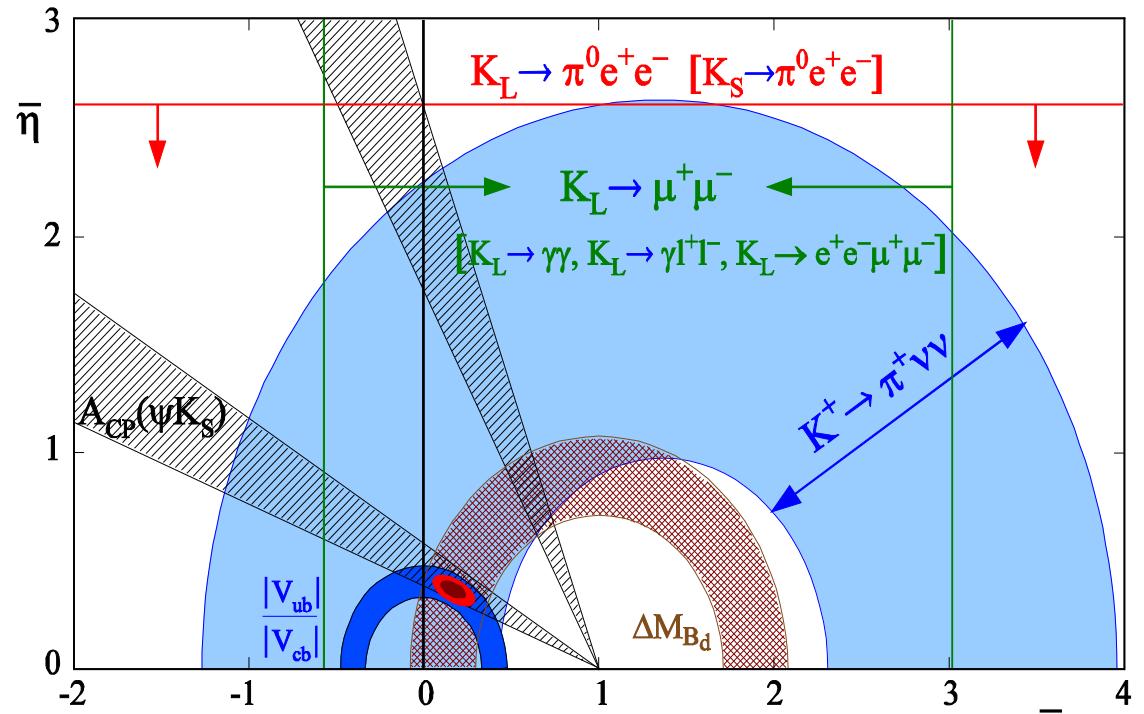
$$K_L \rightarrow \pi^0 e^+ e^- \left\{ \begin{array}{l} K_S \rightarrow \pi^0 e^+ e^- \\ K_L \rightarrow \pi^0 \gamma \gamma \\ K_L \rightarrow ee \gamma \gamma \end{array} \right.$$



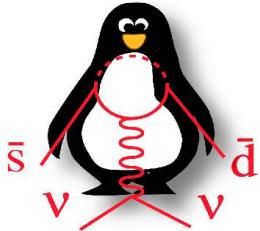
$$K_L \rightarrow \mu^+ \mu^- \left\{ \begin{array}{l} K_L \rightarrow \gamma \gamma, K_L \rightarrow e^+ e^- \gamma \\ K_L \rightarrow e^+ e^- e^+ e^-, e^+ e^- \mu^+ \mu^- \end{array} \right.$$

$$\text{Im } \lambda_t = A^2 \lambda^5 \eta$$

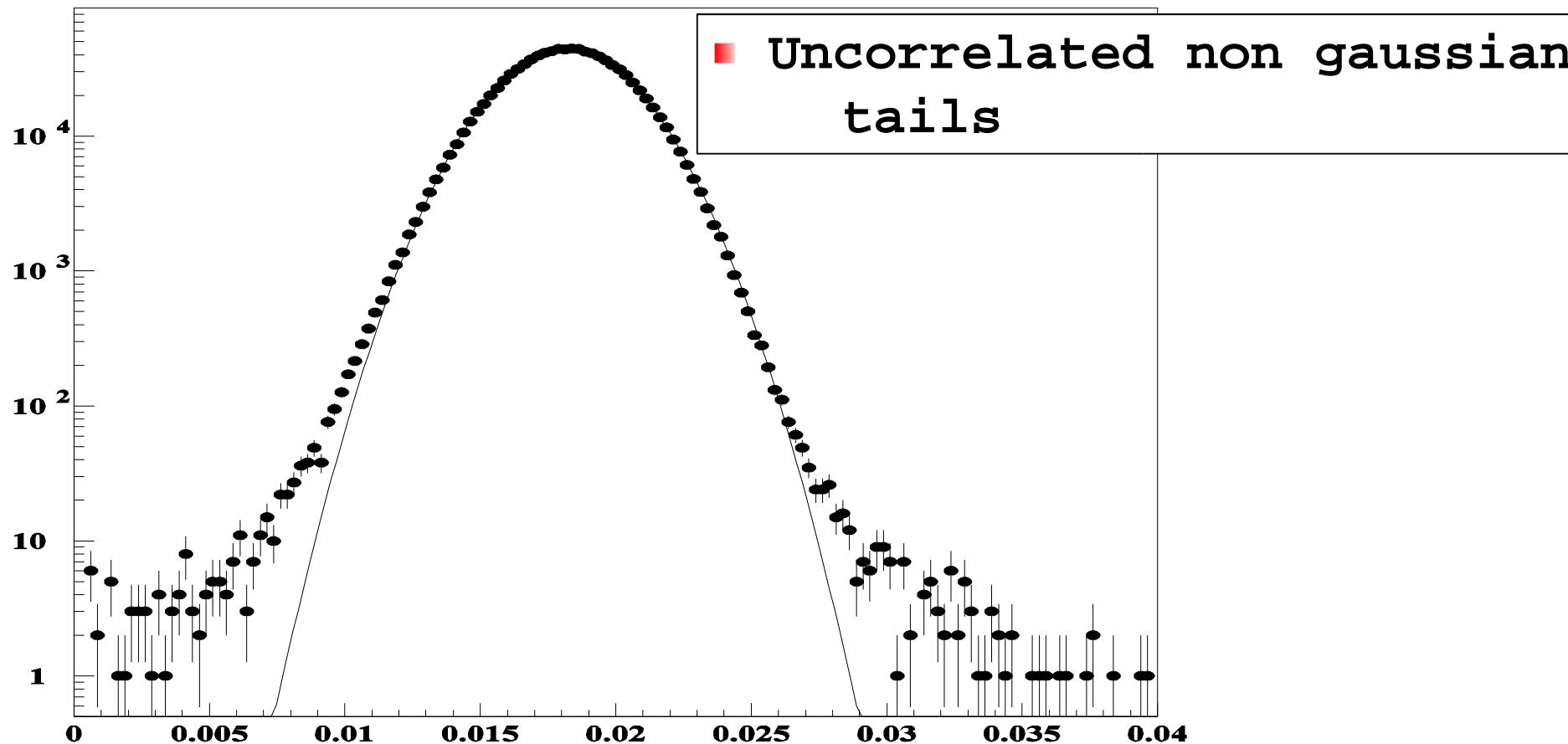
$$\text{Re } \lambda_t = A^2 \lambda^5 \rho$$

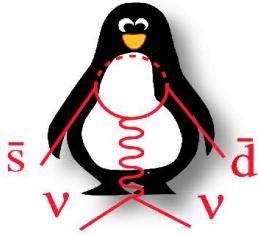


P326

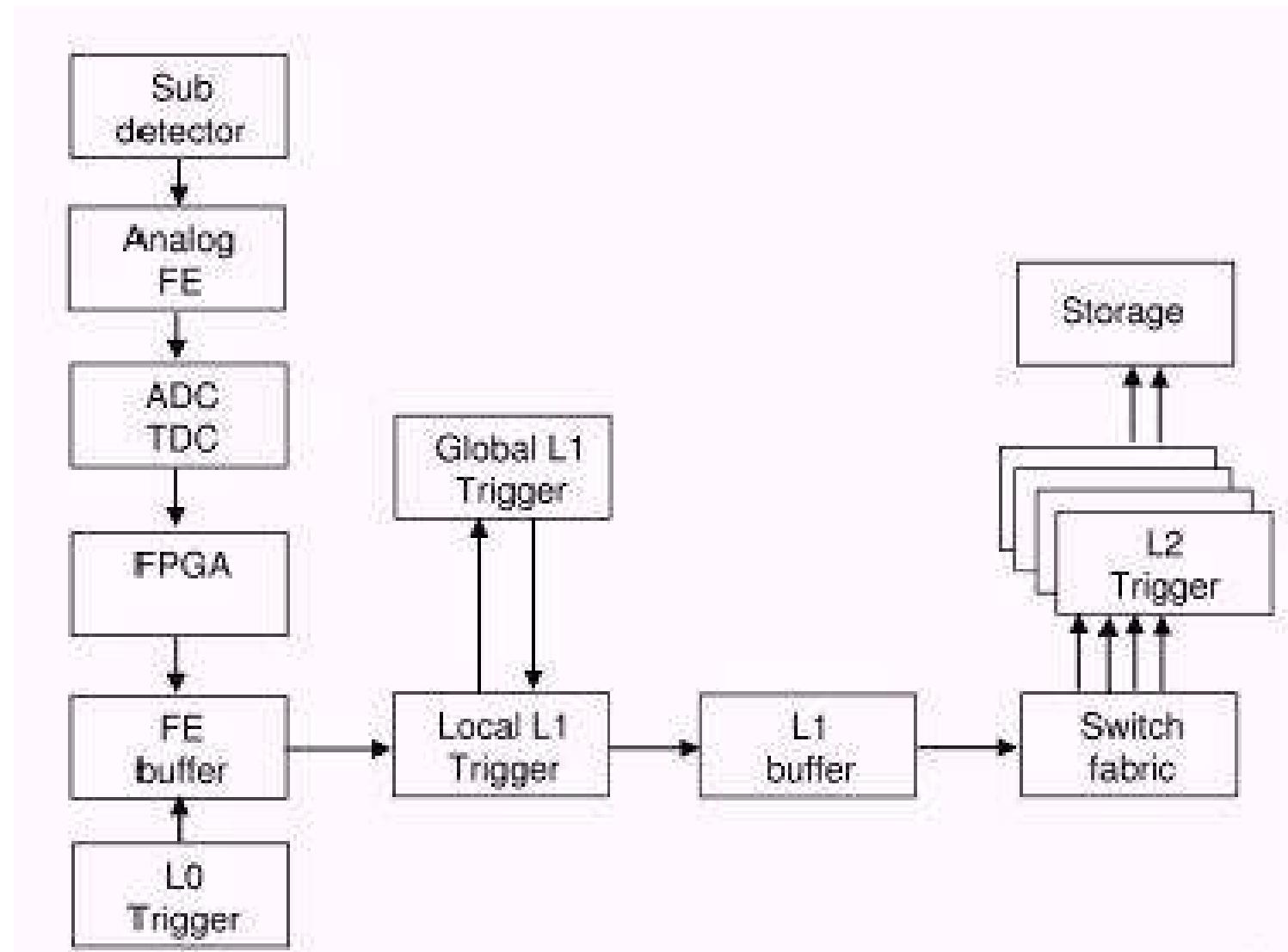


# P326 Simulation





# Trigger



# Kaons@CERN:NA48

